

# INSURANCE COMMISSION OF THE BAHAMAS



## NOTICE

**To: All Registered Long-term Insurers**

**Date: July 1, 2021**

**Re: United Nations Security Council Resolutions (UNSCR) 2582 (2021) Pursuant to the Anti-Terrorism (Amendment) Act, 2019 and International Obligations (Economic and Ancillary Measures) Amendment Act, 2019**

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Pursuant to section 43 of the Anti-Terrorism (Amendment) Act, 2019 and section 3A of the International Obligations (Economic and Ancillary Measures) Amendment Act, 2019 (“IOEAMA”) the National Identified Risk Framework Coordinator has requested that the Insurance Commission of The Bahamas (“the Commission”) circulate the following Notices regarding the Democratic Republic of the Congo.

Please note that the Security Council Committee pursuant to resolution 2582 (2021), renewed the arms embargo, travel ban, and assets freeze imposed on the Democratic Republic of the Congo for another year. These measures require all States to refrain from, among other things, providing weapons to non-governmental entities operating in the Democratic Republic of the Congo. The press release can be found at the link listed below:

[Security Council Renews Sanctions on Democratic Republic of Congo, Extends Expert Group Mandate, Unanimously Adopting Resolution 2582 \(2021\) | Meetings Coverage and Press Releases](#)

Also, note the attached United Nations Security Council’s press release and fact sheet in relation to its Sanctions List pursuant to resolution 1533 (2004) regarding its List of Individuals and Entities.

Licensees are required to take note of the renewal measures and press releases to take the necessary measures, and to conduct immediate searches of their database and register of beneficial owners to determine whether there is any information in relation to the individuals and entities named in the press releases listed above.

Companies must report any “Hits” to the Financial Intelligence Unit and the Office of the Attorney General immediately. Companies are also required to notify the Commission of nil results, in writing, regarding the listed individuals and entities.

A copy of the UN Security Council Resolution, Press Releases and the Sanctions List can also be found on the Commission’s website under Announcements and Notices (Alerts).

**Michele C. E. Fields**  
Superintendent of Insurance



The List established and maintained pursuant to Security Council res. 1533 (2004)

**Generated on:** 30 June 2021

"Generated on refers to the date on which the user accessed the list and not the last date of substantive update to the list. Information on the substantive list updates are provided on the Council / Committee's website."

## Composition of the List

The list consists of the two sections specified below:

### A. [Individuals](#)

### B. [Entities and other groups](#)

Information about de-listing may be found at:

<https://www.un.org/securitycouncil/ombudsperson> (for res. 1267)

<https://www.un.org/securitycouncil/sanctions/delisting> (for other Committees)

<https://www.un.org/securitycouncil/content/2231/list> (for res. 2231)

#### A. Individuals

**CDi.001 Name:** 1: ERIC 2: BADEGE 3: na 4: na

**Title:** na **Designation:** na **DOB:** 1971 **POB:** na **Good quality a.k.a.:** na **Low quality a.k.a.:** na **Nationality:** Democratic Republic of the Congo **Passport no:** na **National identification no:** na **Address:** Rwanda (as of early 2016) **Listed on:** 31 Dec. 2012 ( amended on 13 Oct. 2016 ) **Other information:** He fled to Rwanda in March 2013 and is still living there as of early 2016. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals> [click here](#)

**CDi.036 Name:** 1: SEKA 2: BALUKU 3: na 4: na

**Title:** na **Designation:** Overall leader of the Allied Democratic Forces (ADF) (CDe.001). **DOB:** Approximately 1977 **POB:** na **Good quality a.k.a.:** na **Low quality a.k.a.:** a) Mzee Kajaju b) Musa c) Lumu d) Lumonde **Nationality:** Uganda **Passport no:** na **National identification no:** na **Address:** Kajaju camp of Medina II, Beni territory, North Kivu, Democratic Republic of the Congo (Last known location) **Listed on:** 6 Feb. 2020 **Other information:** Longtime member of the ADF (CDe.001), Baluku used to be the second in command to ADF founder Jamil Mukulu (CDi.015) until he took over after FARDC military operation Sukola I in 2014.

**CDi.002 Name:** 1: FRANK KAKOLELE 2: BWAMBALE 3: na 4: na

**Title:** na **Designation:** FARDC General **DOB:** na **POB:** na **Good quality a.k.a.:** a) FRANK KAKORERE b) FRANK KAKORERE BWAMBALE c) AIGLE BLANC **Low quality a.k.a.:** na **Nationality:** Democratic Republic of the Congo **Passport no:** na **National identification no:** na **Address:** Kinshasa, Democratic Republic of the Congo (as of June 2016) **Listed on:** 1 Nov. 2005 ( amended on 13 Oct. 2016 ) **Other information:** Left the CNDP in January 2008. As of June 2011, resides in Kinshasa. Since 2010, Kakolele has been involved in activities apparently on behalf of the DRC government's Programme de Stabilisation et Reconstruction des Zones Sortant des Conflits Armés (STAREC), including participation in a STAREC mission to Goma and Beni in March 2011. DRC authorities arrested him in December 2013 in Beni, North Kivu Province, for allegedly blocking the DDR process. He left the DRC and lived in Kenya for some time, before being called back by the DRC Government to assist them with the situation in the Territory of Beni. He was arrested in October 2015 in the area of Mambasa for allegedly supporting a Mai Mai group, but no charges were

brought and as of June 2016, he lived in Kinshasa. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals> [click here](#)

**CDi.003 Name:** 1: GASTON 2: IYAMUREMYE 3: na 4: na

**Title:** na **Designation:** a) FDLR Interim President b) FDLR-FOCA 1st Vice-President c) FDLR-FOCA Major General **DOB:** 1948 **POB:** a) Musanze District, Northern Province, Rwanda b) Ruhengeri, Rwanda **Good quality a.k.a.:** a) Byiringiro Victor Rumuli b) Victor Rumuri c) Michel Byiringiro **Low quality a.k.a.:** Rumuli **Nationality:** Rwanda **Passport no:** na **National identification no:** na **Address:** North Kivu Province, Democratic Republic of the Congo (as of June 2016) **Listed on:** 1 Dec. 2010 ( amended on 13 Oct. 2016 ) **Other information:** INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals> [click here](#)

**CDi.004 Name:** 1: INNOCENT 2: KAINA 3: na 4: na

**Title:** na **Designation:** Former M23 Deputy Commander **DOB:** (Nov. 1973 ) **POB:** Bunagana, Rutshuru territory, Democratic Republic of the Congo **Good quality a.k.a.:** Colonel Innocent KAINA **Low quality a.k.a.:** India Queen **Nationality:** na **Passport no:** na **National identification no:** na **Address:** Uganda (as of early 2016) **Listed on:** 30 Nov. 2012 ( amended on 13 Oct. 2016 ) **Other information:** : Became M23 deputy commander after the flight of Bosco Taganda's faction to Rwanda in March 2013. Fled to Uganda in November 2013. In Uganda as of early 2016. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals> [click here](#)

**CDi.005 Name:** 1: JÉRÔME 2: KAKWAVU BUKANDE 3: na 4: na

**Title:** na **Designation:** na **DOB:** na **POB:** Goma, Democratic Republic of the Congo **Good quality a.k.a.:** Jérôme Kakwavu **Low quality a.k.a.:** Commandant Jérôme **Nationality:** Democratic Republic of the Congo **Passport no:** na **National identification no:** na **Address:** na **Listed on:** 1 Nov. 2005 **Other information:** Given the rank of General in the FARDC in December 2004. As of June 2011, detained in Makala Prison in Kinshasa. As of 25 March 2011, the High Military Court in Kinshasa opened a trial against Kakwavu for war crimes. In November 2014, convicted by a DRC military court to ten years in prison for rape, murder, and torture. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals> [click here](#)

**CDi.035 Name:** 1: GÉDÉON 2: KYUNGU 3: MUTANGA WA BAFUNKWA 4: KANONGA

**Title:** na **Designation:** Katangan rebel leader **DOB:** 1974 **POB:** Manono Territory, Katanga Province (now Tanganyika Province) **Good quality a.k.a.:** na **Low quality a.k.a.:** na **Nationality:** na **Passport no:** na **National identification no:** na **Address:** na **Listed on:** 1 Feb. 2018 **Other information:** Gédéon Kyungu belongs to the Balubakat ethnic group. After completing primary education in Likasi and secondary school in Manono, he obtained a degree in pedagogy. In 1999 he joined the Maï Maï movement, commanding from 2003 one of the most active groups in the province of Katanga. In 2006, he visited UN peacekeeping forces to integrate through the disarmament, demobilization and reintegration (DDR) process. He escaped from prison in 2011 and surrendered in October 2016. He is a threat to the peace, stability and security of the DRC under UNSCR 2293 paragraph 7(e). INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals> [click here](#)

**CDi.006 Name:** 1: GERMAIN 2: KATANGA 3: na 4: na

**Title:** na **Designation:** na **DOB:** 28 Apr. 1978 **POB:** Mambasa, Ituri Province, Democratic Republic of the Congo **Good quality a.k.a.:** na **Low quality a.k.a.:** na **Nationality:** Democratic Republic of the Congo **Passport no:** na **National identification no:** na **Address:** Democratic Republic of the Congo (in prison) **Listed on:** 1 Nov. 2005 ( amended on 13 Oct. 2016 ) **Other information:** Appointed General in the FARDC in December 2004. Handed over by the Government of the DRC to the International Criminal Court on 18 October 2007. Initially convicted on 23 May 2014 by the ICC to 12 years in prison for war crimes and crimes against humanity, the ICC Appeals Chamber reduced his sentence and determined that Katanga's sentence should be completed on 18 January 2016. Although he was detained in the Netherlands for the duration of his trial, Katanga was transferred to a DRC prison in December 2015 and charged for other crimes previously committed

in Ituri. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals> [click here](#)

**CDi.007 Name:** 1: THOMAS 2: LUBANGA 3: na 4: na

**Title:** na **Designation:** na **DOB:** na **POB:** Ituri, Democratic Republic of the Congo **Good quality a.k.a.:** na **Low quality a.k.a.:** na **Nationality:** Democratic Republic of the Congo **Passport no:** na **National identification no:** na **Address:** Democratic Republic of the Congo **Listed on:** 1 Nov. 2005 ( amended on 13 Oct. 2016, 2 Nov. 2020 ) **Other information:** Arrested in Kinshasa in March 2005 for UPC/L involvement in human rights abuses violations. Transferred to the ICC on 17 March 2006. Convicted by the ICC in March 2012 and sentenced to 14 years in prison. On 1 December 2014, ICC appeals judges upheld Lubanga's conviction and sentence. Transferred to a prison facility in the DRC on 19 December 2015 to serve out his sentence of imprisonment. He was released on 15 March 2020 after having served his ICC sentence. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals> [click here](#)

**CDi.008 Name:** 1: SULTANI 2: MAKENGA 3: na 4: na

**Title:** na **Designation:** na **DOB:** 25 Dec. 1973 **POB:** Rutshuru, Democratic Republic of the Congo **Good quality a.k.a.:** a) MAKENGA, Colonel SULTANI b) MAKENGA, EMMANUEL SULTANI **Low quality a.k.a.:** na **Nationality:** Democratic Republic of the Congo **Passport no:** na **National identification no:** na **Address:** na **Listed on:** 12 Nov. 2012 **Other information:** A military leader of the Mouvement du 23 Mars (M23) group operating in the Democratic Republic of the Congo. In Uganda as of late 2014. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals> [click here](#)

**CDi.009 Name:** 1: KHAWA PANGA 2: MANDRO 3: na 4: na

**Title:** na **Designation:** na **DOB:** 20 Aug. 1973 **POB:** Bunia, Democratic Republic of the Congo **Good quality a.k.a.:** a) Kawa Panga b) Kawa Panga Mandro c) Kawa Mandro d) Yves Andoul Karim e) Mandro Panga Kahwa f) Yves Khawa Panga Mandro **Low quality a.k.a.:** a) "Chief Kahwa" b) "Kawa" **Nationality:** Democratic Republic of the Congo **Passport no:** na **National identification no:** na **Address:** Uganda (as of May 2016) **Listed on:** 1 Nov. 2005 ( amended on 13 Oct. 2016 ) **Other information:** Placed in prison in Bunia in April 2005 for sabotage of the Ituri peace process. Arrested by Congolese authorities in October 2005, acquitted by the Court of Appeal in Kisangani, subsequently transferred to the judicial authorities in Kinshasa on new charges of crimes against humanity, war crimes, murder, aggravated assault and battery. In August 2014, a DRC military court in Kisangani convicted him of war crimes and crimes against humanity, sentenced him to nine years in prison, and ordered him to pay approximately \$85,000 to his victims. He served his sentence and resides in Uganda as of May 2016. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals> [click here](#)

**CDi.010 Name:** 1: CALLIXTE 2: MBARUSHIMANA 3: na 4: na

**Title:** na **Designation:** FDLR Executive Secretary **DOB:** 24 Jul. 1963 **POB:** Ndusu / Ruhengeri, Northern Province, Rwanda **Good quality a.k.a.:** na **Low quality a.k.a.:** na **Nationality:** Rwanda **Passport no:** na **National identification no:** na **Address:** na **Listed on:** 3 Mar. 2009 ( amended on 13 Oct. 2016 ) **Other information:** Arrested in Paris on 3 October 2010 under ICC warrant for war crimes and crimes against humanity committed by FDLR troops in the Kivus in 2009. Transferred to The Hague on 25 January 2011 and released by the ICC in late 2011. Elected FDLR Executive Secretary on 29 Nov. 2014 for a five-year term. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals> [click here](#)

**CDi.011 Name:** 1: IRUTA DOUGLAS 2: MPAMO 3: na 4: na

**Title:** na **Designation:** na **DOB:** a) 28 Dec. 1965 b) 29 Dec. 1965 **POB:** a) Bashali, Masisi, Democratic Republic of the Congo b) Goma, Democratic Republic of the Congo c) Uvira, Democratic Republic of the Congo **Good quality a.k.a.:** Douglas Iruta Mpamo **Low quality a.k.a.:** Mpamo **Nationality:** Democratic Republic of the Congo **Passport no:** na **National identification no:** na **Address:** Gisenyi, Rwanda (As of June 2011.) **Listed on:** 1 Nov. 2005 **Other information:** No known occupation since two of the planes managed by

Great Lakes Business Company (GLBC) crashed. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals> [click here](#)

**CDi.012 Name:** 1: SYLVESTRE 2: MUDACUMURA 3: na 4: na

**Title:** na **Designation:** a) FDLR-FOCA Commander b) FDLR-FOCA Lieutenant General **DOB:** 1954 **POB:** Cellule Ferege, Gatumba sector, Kibilira commune, Gisenyi prefecture, Rwanda **Good quality a.k.a.:** a) Mupenzi Bernard b) General Major Mupenzi c) General Mudacumura **Low quality a.k.a.:** a) Pharaoh b) Radja **Nationality:** Rwanda **Passport no:** na **National identification no:** na **Address:** North Kivu Province, Democratic Republic of the Congo (as of June 2016) **Listed on:** 1 Nov. 2005 ( amended on 13 Oct. 2016 ) **Other information:** The International Criminal Court issued an arrest warrant for Mudacumura on 12 July 2012 for nine counts of war crimes, including attacking civilians, murder, mutilation, cruel treatment, rape, torture, destruction of property, pillaging and outrages against personal dignity, allegedly committed between 2009 and 2010 in the DRC.

**CDi.013 Name:** 1: LEODOMIR 2: MUGARAGU 3: na 4: na

**Title:** na **Designation:** na **DOB:** a) 1954 b) 1953 **POB:** a) Kigali, Rwanda b) Rushashi, Northern Province, Rwanda **Good quality a.k.a.:** a) Manzi Leon b) Leo Manzi **Low quality a.k.a.:** na **Nationality:** Rwanda **Passport no:** na **National identification no:** na **Address:** FDLR HQ at Kikoma forest, Bogoyi, Walikale, North Kivu, Democratic Republic of the Congo (As of June 2011.) **Listed on:** 1 Dec. 2010 **Other information:** FDLR-FOCA Chief of Staff, in charge of administration. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals> [click here](#)

**CDi.014 Name:** 1: LEOPOLD 2: MUJYAMBERE 3: na 4: na

**Title:** na **Designation:** a) FDLR-FOCA Chief of Staff b) FDLR-FOCA Interim Deputy Commander **DOB:** a) 17 Mar. 1962 b) Approximately 1966 **POB:** Kigali, Rwanda **Good quality a.k.a.:** na **Low quality a.k.a.:** a) Musenyeri b) Achille c) Frere Petrus Ibrahim **Nationality:** Rwanda **Passport no:** na **National identification no:** na **Address:** Kinshasa, Democratic Republic of the Congo (as of June 2016) **Listed on:** 3 Mar. 2009 ( amended on 13 Oct. 2016 ) **Other information:** Became acting FDLR-FOCA Deputy Commander in 2014. Captured in Goma, DRC by Congolese security services in early May 2016 and transferred to Kinshasa. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals> [click here](#)

**CDi.015 Name:** 1: JAMIL 2: MUKULU 3: na 4: na

**Title:** na **Designation:** a) Head of the Allied Democratic Forces (ADF) b) Commander, Allied Democratic Forces **DOB:** a) 1965 b) 1 Jan. 1964 **POB:** Ntoke Village, Ntenjeru Sub County, Kayunga District, Uganda **Good quality a.k.a.:** a) Steven Alirabaki b) David Kyagulanyi c) Musezi Talengelanimiro d) Mzee Tutu e) Abdullah Junjuaka f) Alilabaki Kyagulanyi g) Hussein Muhammad h) Nicolas Luumu i) Julius Elius Mashauri j) David Amos Mazengo **Low quality a.k.a.:** a) Professor Musharaf b) Talengelanimiro **Nationality:** Uganda **Passport no:** na **National identification no:** na **Address:** Reportedly in prison in Uganda , (as of September 2016) **Listed on:** 12 Oct. 2011 ( amended on 13 Oct. 2016 ) **Other information:** Arrested in April 2015 in Tanzania and extradited to Uganda in July 2015. As of September 2016, Mukulu is reportedly being held in a police detention cell awaiting his trial for war crimes and grave breaches of the Geneva Convention under Ugandan Law. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals> [click here](#)

**CDi.032 Name:** 1: MUHINDO 2: AKILI 3: MUNDOS 4: na

**Title:** na **Designation:** a) DRC Armed Forces (FARDC) General, Commander of the 31st Brigade b) FARDC Brigadier General **DOB:** 10 Nov. 1972 **POB:** Democratic Republic of the Congo **Good quality a.k.a.:** a) Charles Muhindo Akili Mundos b) Akili Muhindo c) Muhindo Mundos **Low quality a.k.a.:** na **Nationality:** Democratic Republic of the Congo **Passport no:** na **National identification no:** na **Address:** na **Listed on:** 1 Feb. 2018 **Other information:** Muhindo Akili Mundos is an FARDC General, Commander of the 31st Brigade. He was appointed commander of the FARDC's Operational Sector in the areas of Beni and Lubero, including Operation Sukola I against the Allied Democratic Forces (ADF) in September 2014. He remained in that position until June 2015. He is also a threat to the peace, stability and security of the DRC under UNSCR 2293

paragraph 7(e). INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals> [click here](#)

**CDi.016 Name:** 1: IGNACE 2: MURWANASHYAKA 3: na 4: na

**Title:** Dr. **Designation:** FDLR President **DOB:** 14 May 1963 **POB:** a) Butera, Rwanda b) Ngoma, Butare, Rwanda **Good quality a.k.a.:** na **Low quality a.k.a.:** Dr. Ignace **Nationality:** Rwanda **Passport no:** na **National identification no:** na **Address:** Germany (in prison) **Listed on:** 1 Nov. 2005 ( amended on 13 Oct. 2016, 25 Feb. 2020 ) **Other information:** Reported to have died in prison in Germany on 16 April 2019. Arrested by German authorities on 17 November 2009 and found guilty by a German court on 28 September 2015 of leadership of a foreign terrorist group and aiding in war crimes. Received a 13-year sentence and is in prison in Germany as of June 2016. Re-elected FDLR President on 29 November 2014 for a five-year term. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals> [click here](#)

**CDi.017 Name:** 1: STRATON 2: MUSONI 3: na 4: na

**Title:** na **Designation:** Former FDLR Vice President **DOB:** a) 6 Apr. 1961 b) 4 Jun. 1961 **POB:** Mugambazi, Kigali, Rwanda **Good quality a.k.a.:** IO Musoni **Low quality a.k.a.:** na **Nationality:** Rwanda **Passport no:** na **National identification no:** na **Address:** na **Listed on:** 29 Mar. 2007 ( amended on 13 Oct. 2016 ) **Other information:** Arrested by German authorities on 17 November 2009, found guilty in a German court on 28 September 2015 of leadership of a foreign terrorist group, and received an 8-year sentence. Musoni was released from prison immediately after the trial, having served over 5 years of his sentence. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals> [click here](#)

**CDi.018 Name:** 1: JULES 2: MUTEBUTSI 3: na 4: na

**Title:** na **Designation:** na **DOB:** 1964 **POB:** Minembwe, South Kivu, Democratic Republic of the Congo **Good quality a.k.a.:** a) Jules Mutebusi b) Jules Mutebuzi c) Colonel Mutebutsi **Low quality a.k.a.:** na **Nationality:** Democratic Republic of the Congo **Passport no:** na **National identification no:** na **Address:** na **Listed on:** 1 Nov. 2005 ( amended on 13 Oct. 2016 ) **Other information:** Former FARDC Deputy Military Regional Commander of 10th Military Region in April 2004, dismissed for indiscipline. In December 2007, he was arrested by Rwandan authorities when he tried to cross the border into the DRC. Reported to have died in Kigali on 9 May 2014. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals> [click here](#)

**CDi.033 Name:** 1: GUIDON 2: SHIMIRAY 3: MWISSA 4: na

**Title:** na **Designation:** na **DOB:** 13 Mar. 1980 **POB:** Kigoma, Walikale, Democratic Republic of the Congo **Good quality a.k.a.:** na **Low quality a.k.a.:** na **Nationality:** na **Passport no:** na **National identification no:** na **Address:** na **Listed on:** 1 Feb. 2018 **Other information:** Graduated secondary school humanités sociales in Mpofi; joined the armed group commanded by She Kasikila at the age of 16; integrated the FARDC with Kasikila, becoming his battalion S3; injured in 2007, thereafter joining Mai Mai Simba under then-commander “Mando;” participated in the creation of the NDC in 2008, becoming the deputy commander in charge of the Aigle Lemabé Brigade. He is also a threat to the peace, stability and security of the DRC under UNSCR 2293 paragraph 7(g). INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals> [click here](#)

**CDi.019 Name:** 1: BAUDOIN 2: NGARUYE WA MYAMURO 3: na 4: na

**Title:** Military leader of the Mouvement du 23 Mars (M23) **Designation:** Brigadier General **DOB:** a) 1 Apr. 1978 b) 1978 **POB:** a) Bibwe, Democratic Republic of the Congo b) Lusamambo, Lubero territory, Democratic Republic of the Congo **Good quality a.k.a.:** Colonel Baudoin NGARUYE **Low quality a.k.a.:** na **Nationality:** Democratic Republic of the Congo **Passport no:** na **National identification no:** FARDC ID 1-78-09-44621-80 **Address:** Rubavu / Mudende, Rwanda **Listed on:** 30 Nov. 2012 **Other information:** Entered the Republic of Rwanda on 16 March 2013. As of late 2014, living in Ngoma camp, Rwanda. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals> [click here](#)

**CDi.020 Name:** 1: MATHIEU, CHUI 2: NGUDJOLO 3: na 4: na

**Title:** na **Designation:** na **DOB:** 8 Oct. 1970 **POB:** Bunia, Ituri Province, Democratic Republic of the Congo **Good quality a.k.a.:** Cui Ngudjolo **Low quality a.k.a.:** na **Nationality:** Democratic Republic of the Congo **Passport no:** na **National identification no:** na **Address:** Democratic Republic of the Congo **Listed on:** 1 Nov. 2005 ( amended on 13 Oct. 2016 ) **Other information:** Arrested by MONUC in Bunia in October 2003. Surrendered by the Government of the DRC to the International Criminal Court on 7 February 2008. Acquitted of all charges by the ICC in December 2012, and the verdict was upheld by the Appeals Chamber on 27 February 2015. Ngudjolo filed a claim for asylum in the Netherlands, but was denied. He was deported to the DRC on 11 May 2015. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals> [click here](#)

**CDi.021 Name:** 1: FLORIBERT NGABU 2: NJABU 3: na 4: na

**Title:** na **Designation:** na **DOB:** 23 May 1971 **POB:** na **Good quality a.k.a.:** a) Floribert Njabu Ngabu b) Floribert Ndjabu c) Floribert Ngabu Ndjabu **Low quality a.k.a.:** na **Nationality:** Democratic Republic of the Congo **Passport no:** Democratic Republic of the Congo number OB 0243318 **National identification no:** na **Address:** na **Listed on:** 1 Nov. 2005 ( amended on 13 Oct. 2016 ) **Other information:** Under house arrest in Kinshasa since March 2005 for FNI involvement in human rights abuses. Transferred to The Hague on 27 March 2011 to testify in the ICC Germain Katanga and Mathieu Ngudjolo trials. Applied for asylum in the Netherlands in May 2011. In October 2012, a Dutch court denied his asylum claim. In July 2014, he was deported from the Netherlands to DRC, where he was placed under arrest. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals> [click here](#)

**CDi.022 Name:** 1: LAURENT 2: NKUNDA 3: na 4: na

**Title:** na **Designation:** na **DOB:** a) 6 Feb. 1967 b) 2 Feb. 1967 **POB:** Rutshuru, North Kivu, Democratic Republic of the Congo **Good quality a.k.a.:** a) Nkunda Mihigo Laurent b) Laurent Nkunda Bwatware c) Laurent Nkundabatware d) Laurent Nkunda Mahoro Batware e) Laurent Nkunda Batware **Low quality a.k.a.:** a) Chairman b) General Nkunda c) Papa Six **Nationality:** Democratic Republic of the Congo **Passport no:** na **National identification no:** na **Address:** na **Listed on:** 1 Nov. 2005 **Other information:** Former RCD-G General. Founder, National Congress for the People's Defense, 2006; Senior Officer, Rally for Congolese Democracy-Goma (RCD-G), 1998-2006; Officer Rwandan Patriotic Front (RPF), 1992-1998. Laurent Nkunda was arrested by Rwandan authorities in Rwanda in January 2009 and replaced as the commander of the CNDP. Since then, he has been under house arrest in Kigali, Rwanda. DRC Government's request to extradite Nkunda for crimes committed in eastern DRC has been refused by Rwanda. In 2010, Nkunda's appeal for illegal detention was rejected by Rwandan court in Gisenyi, ruling that the matter should be examined by a military court. Nkunda's lawyers appealed with the Rwandan Military Court. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals> [click here](#)

**CDi.023 Name:** 1: FELICIEN 2: NSANZUBUKIRE 3: na 4: na

**Title:** na **Designation:** a) FDLR-FOCA Subsector Commander b) FDLR-FOCA Colonel **DOB:** 1967 **POB:** a) Murama, Kigali, Rwanda b) Rubungo, Kigali, Rwanda c) Kinyinya, Kigali, Rwanda **Good quality a.k.a.:** Fred Irakeza **Low quality a.k.a.:** na **Nationality:** Rwanda **Passport no:** na **National identification no:** na **Address:** South Kivu Province, Democratic Republic of the Congo (As of June 2016) **Listed on:** 1 Dec. 2010 ( amended on 13 Oct. 2016 ) **Other information:** INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals> [click here](#)

**CDi.024 Name:** 1: PACIFIQUE 2: NTAWUNGUKA 3: na 4: na

**Title:** na **Designation:** a) FDLR-FOCA "SONOKI" Sector Commander b) FDLR-FOCA Brigadier General **DOB:** a) 1 Jan. 1964 b) Approximately 1964 **POB:** Gaseke, Gisenyi Province, Rwanda **Good quality a.k.a.:** Pacifique Ntawungula **Low quality a.k.a.:** a) Colonel Omega b) Nzeri c) Israel **Nationality:** Rwanda **Passport no:** na **National identification no:** na **Address:** Rutshuru Territory, North Kivu, Democratic Republic of the Congo (As of June 2016) **Listed on:** 3 Mar. 2009 ( amended on 13 Oct. 2016 ) **Other information:** Received military training in Egypt. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals> [click here](#)

**CDi.025 Name:** 1: JAMES 2: NYAKUNI 3: na 4: na

**Title:** na **Designation:** na **DOB:** na **POB:** na **Good quality a.k.a.:** na **Low quality a.k.a.:** na **Nationality:** Uganda **Passport no:** na **National identification no:** na **Address:** na **Listed on:** 1 Nov. 2005 **Other information:** INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals> [click here](#)

**CDi.034 Name:** 1: LUCIEN 2: NZAMBAMWITA 3: na 4: na

**Title:** na **Designation:** na **DOB:** 1966 **POB:** Cellule Nyagitabire, Sector Ruvune, Commune Kinyami, Prefecture Byumba, Rwanda **Good quality a.k.a.:** André Kalume **Low quality a.k.a.:** na **Nationality:** Rwanda **Passport no:** na **National identification no:** na **Address:** na **Listed on:** 1 Feb. 2018 **Other information:** He is a threat to the peace, stability and security of the DRC under UNSCR 2293 paragraph 7(j). INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals> [click here](#)

**CDi.026 Name:** 1: STANISLAS 2: NZEYIMANA 3: na 4: na

**Title:** na **Designation:** Former FDLR-FOCA Deputy Commander **DOB:** a) 1 Jan. 1966 b) 28 Aug. 1966 c) Approximately 1967 **POB:** Mugusa, Butare, Rwanda **Good quality a.k.a.:** a) Deogratias Bigaruka Izabayo b) Izabayo Deo c) Jules Mateso Mlamba **Low quality a.k.a.:** a) Bigaruka b) Bigurura **Nationality:** Rwanda **Passport no:** na **National identification no:** na **Address:** na **Listed on:** 3 Mar. 2009 ( amended on 13 Oct. 2016 ) **Other information:** Disappeared while in Tanzania in early 2013. Whereabouts unknown as of June 2016. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals> [click here](#)

**CDi.027 Name:** 1: DIEUDONNÉ 2: OZIA MAZIO 3: na 4: na

**Title:** na **Designation:** na **DOB:** 6 Jun. 1949 **POB:** Ariwara, Democratic Republic of the Congo **Good quality a.k.a.:** Ozia Mazio **Low quality a.k.a.:** a) Omari b) Mr Omari **Nationality:** Democratic Republic of the Congo **Passport no:** na **National identification no:** na **Address:** na **Listed on:** 1 Nov. 2005 **Other information:** While president of the Fédération des entreprises congolaises (FEC) in Aru territory, Dieudonné Ozia Mazio is believed to have died in Ariwara on 23 September 2008. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals> [click here](#)

**CDi.028 Name:** 1: JEAN-MARIE LUGERERO 2: RUNIGA 3: na 4: na

**Title:** na **Designation:** M23, President **DOB:** a) Approximately 1960 b) 9 Sep. 1966 **POB:** Bukavu, Democratic Republic of the Congo **Good quality a.k.a.:** Jean-Marie Rugerero **Low quality a.k.a.:** na **Nationality:** na **Passport no:** na **National identification no:** na **Address:** Rubavu / Mudende, Rwanda **Listed on:** 31 Dec. 2012 ( amended on 13 Oct. 2016 ) **Other information:** Entered the Republic of Rwanda on 16 March 2013. As of 2016, residing in Rwanda. Participated in the creation of a new Congolese political party in June 2016, the Alliance pour le Salut du Peuple (ASP). INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals> [click here](#)

**CDi.029 Name:** 1: NTABO NTABERI 2: SHEKA 3: na 4: na

**Title:** na **Designation:** Commander-in-Chief, Nduma Defence of Congo, Mayi Mayi Sheka group **DOB:** 4 Apr. 1976 **POB:** Walikale in Walikale territory, Democratic Republic of the Congo **Good quality a.k.a.:** na **Low quality a.k.a.:** na **Nationality:** Democratic Republic of the Congo **Passport no:** na **National identification no:** na **Address:** Goma, North Kivu, Democratic Republic of the Congo ( (in prison)) **Listed on:** 28 Nov. 2011 ( amended on 19 Aug. 2020 ) **Other information:** He surrendered to MONUSCO on 26 July 2017 and has been since detained by the Congolese authorities. His trial for war crimes, crimes against humanity and participation in an insurrectional movement, before the Military Court in Goma, started in November 2018. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals> [click here](#)

**CDi.030 Name:** 1: BOSCO 2: TAGANDA 3: na 4: na

**Title:** na **Designation:** na **DOB:** Between 1973 and 1974 **POB:** Bigogwe, Rwanda **Good quality a.k.a.:** a)



Bosco Ntaganda **b)** Bosco Ntagenda **c)** General Taganda **Low quality a.k.a.:** **a)** Lydia (When he was part of APR.) **b)** Terminator **c)** Tango Romeo (Call sign) **d)** Romeo (Call sign) **e)** Major **Nationality:** Democratic Republic of the Congo **Passport no:** na **National identification no:** na **Address:** The Hague, Netherlands (As of June 2016) **Listed on:** 1 Nov. 2005 ( amended on 13 Oct. 2016, 19 Aug. 2020 ) **Other information:** Born in Rwanda, he moved to Nyamitaba, Masisi territory, North Kivu, when he was a child. Nominated FARDC Brigadier-General by Presidential Decree on 11 December 2004, following Ituri peace agreements. Formerly Chief of Staff in CNDP and became CNDP military commander since the arrest of Laurent Nkunda in January 2009. Since January 2009, de facto Deputy Commander of consecutive anti-FDLR operations ‘Umoja Wetu’, ‘Kimia II’, and ‘Amani Leo’ in North and South Kivu. Entered Rwanda in March 2013, and voluntarily surrender to ICC officials in Kigali on March 22. Transferred to the ICC in The Hague, Netherlands. On 9 June 2014, ICC confirmed 13 charges of war crimes and five charges of crimes against humanity against him; the trial started in September 2015. On 8 July 2019, the ICC found him guilty of 18 counts of war crimes and crimes against humanity, committed in Ituri in 2002-2003. On 7 November 2019, he was sentenced to a total of 30 years imprisonment. He has appealed both his conviction and sentence. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals> [click here](#)

**CDi.031 Name:** 1: INNOCENT 2: ZIMURINDA 3: na 4: na

**Title:** na **Designation:** **a)** M23, Bde Comd, Rank: Colonel **b)** Colonel in the FARDC **DOB:** **a)** 1 Sep. 1972 **b)** Approximately 1975 **c)** 16 Mar. 1972 **POB:** **a)** Ngungu, Masisi territory, North Kivu, Democratic Republic of the Congo **b)** Masisi, Democratic Republic of the Congo **Good quality a.k.a.:** na **Low quality a.k.a.:** Zimulinda **Nationality:** Democratic Republic of the Congo **Passport no:** na **National identification no:** na **Address:** Rubavu / Mudende, **Listed on:** 1 Dec. 2010 **Other information:** Integrated in the FARDC in 2009 as a Lieutenant Colonel, brigade commander in FARDC Kimia II Ops, based in Ngungu area. In July 2009, Zimurinda was promoted to full Colonel and became FARDC Sector commander in Ngungu and subsequently in Kitchanga in FARDC Kimia II and Amani Leo Operations. Whereas Zimurinda did not appear in the 31 December 2010 DRC Presidential ordinance nominating high FARDC officers, Zimurinda de facto maintained his command position of FARDC 22nd sector in Kitchanga and wears the newly issued FARDC rank and uniform. In December 2010, recruitment activities carried out by elements under the command of Zimurinda were denounced in open source reports. Entered the Republic of Rwanda on 16 March 2013. As of late 2014, residing in Ngoma camp, Rwanda. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Individuals> [click here](#)

## B. Entities and other groups

**CDe.001 Name:** ADF

**A.k.a.:** Allied Democratic Forces **F.k.a.:** **a)** Forces Démocratiques Alliées-Armée Nationale de Libération de l'Ouganda **b)** ADF/NALU **c)** NALU **Address:** North Kivu , Democratic Republic of the Congo **Listed on:** 30 Jun. 2014 ( amended on 19 Oct. 2016, 19 Aug. 2020 ) **Other information:** ADF founder and leader, Jamil Mukulu (CDi.015), was arrested in Dar es Salaam, Tanzania in April 2015. He was subsequently extradited to Kampala, Uganda in July 2015. As of June 2016, Mukulu is reportedly being held in a police detention cell awaiting his trial. Seka Baluku (CDi.036) succeeded Jamil Mukulu (CDi.015) as the overall leader of the ADF. As highlighted in several reports from the Group of Experts on the DRC (S/2015/19, S/2015/797, S/2016/1102, S/2017/672, S/2018/531, S/2019/469, S/2019/974, S/2020/482), the ADF, including under Seka Baluku's leadership, continued to commit the repeated targeting, killing and maiming, rape and other sexual violence, abduction of civilians, including children, as well as attacks on villages and health facilities, in particular in Mamove, Beni territory, on 12 and 24 February 2019, and Mantumbi, Beni territory, on 5 December 2019 and 30 January 2020, as well as the continuous recruitment and use of children during attacks and for forced labour in Beni territory in the DRC since at least 2015. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Entities> [click here](#)

**CDe.002 Name:** BUTEMBO AIRLINES (BAL)

**A.k.a.:** na **F.k.a.:** na **Address:** Butembo, Democratic Republic of the Congo **Listed on:** 29 Mar. 2007 **Other**

**information:** Privately-owned airline, operates out of Butembo. Since December 2008, BAL no longer holds an aircraft operating license in the DRC. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Entities> [click here](#)

**CDe.003 Name:** COMPAGNIE AERIENNE DES GRANDS LACS (CAGL) ; GREAT LAKES BUSINESS COMPANY (GLBC)

**A.k.a.:** CAGL **F.k.a.:** na **Address:** a) Avenue Président Mobutu, Goma, Democratic Republic of the Congo b) Gisenyi, Rwanda c) PO BOX 315, Goma, Democratic Republic of the Congo **Listed on:** 29 Mar. 2007 **Other information:** As of December 2008, GLBC no longer had any operational aircraft, although several aircraft continued flying in 2008 despite UN sanctions. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Entities> [click here](#)

**CDe.004 Name:** CONGOMET TRADING HOUSE

**A.k.a.:** na **F.k.a.:** na **Address:** Butembo, North Kivu **Listed on:** 29 Mar. 2007 **Other information:** No longer exists as a gold trading house in Butembo, North Kivu. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Entities> [click here](#)

**CDe.005 Name:** FORCES DEMOCRATIQUES DE LIBERATION DU RWANDA (FDLR)

**A.k.a.:** a) FDLR b) Force Combattante Abacunguzi c) Combatant Force for the Liberation of Rwanda d) FOCA **F.k.a.:** na **Address:** a) North Kivu, Democratic Republic of the Congo b) South Kivu, Democratic Republic of the Congo **Listed on:** 31 Dec. 2012 **Other information:** Email: [Fdlr@fmx.de](mailto:Fdlr@fmx.de); [fldrse@yahoo.fr](mailto:fldrse@yahoo.fr); [fdlr@gmx.net](mailto:fdlr@gmx.net); [fdlrsrt@gmail.com](mailto:fdlrsrt@gmail.com); [humura2020@gmail.com](mailto:humura2020@gmail.com). INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Entities> [click here](#)

**CDe.006 Name:** M23

**A.k.a.:** Mouvement du 23 mars **F.k.a.:** na **Address:** na **Listed on:** 31 Dec. 2012 **Other information:** e-mail: [mouvementdu23mars1@gmail.com](mailto:mouvementdu23mars1@gmail.com) INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Entities> [click here](#)

**CDe.007 Name:** MACHANGA LTD

**A.k.a.:** na **F.k.a.:** na **Address:** Plot 55A, Upper Kololo Terrace, Kampala, Uganda **Listed on:** 29 Mar. 2007 ( amended on 19 Aug. 2020 ) **Other information:** Gold export company (Directors: Mr. Rajendra Kumar Vaya and Mr. Hirendra M. Vaya). In 2010, assets belonging to Machanga, held in the account of Emirates Gold, were frozen by Bank of Nova Scotia Mocatta (UK). The owners of Machanga have remained involved in purchasing gold from eastern DRC. Machanga Ltd last filed an annual return in 2004 and was listed as “status inactive” according to the authorities of the Republic of Uganda. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Entities> [click here](#)

**CDe.008 Name:** TOUS POUR LA PAIX ET LE DEVELOPPEMENT (NGO)

**A.k.a.:** TPD **F.k.a.:** na **Address:** Goma, North Kivu, Democratic Republic of the Congo **Listed on:** 1 Nov. 2005 **Other information:** Goma, with provincial committees in South Kivu, Kasai Occidental, Kasai Oriental and Maniema Officially suspended all activities since 2008. In practice, as of June 2011 TPD offices are open and involved in cases related to returns of IDPs, community reconciliation initiatives, land conflict settlements, etc. The TPD President is Eugene Serufuli and Vice-President is Saverina Karomba. Important members include North Kivu provincial deputies Robert Seninga and Bertin Kirivita. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Entities> [click here](#)

**CDe.009 Name:** UGANDA COMMERCIAL IMPEX (UCI) LTD

**A.k.a.:** na **F.k.a.:** na **Address:** a) Plot 22, Kanjokya Street, Kamwokya, Kampala, Uganda (Tel.: +256 41 533 578/9) b) PO BOX 22709, Kampala, Uganda **Listed on:** 29 Mar. 2007 ( amended on 19 Aug. 2020 ) **Other information:** Gold export company. (Directors Mr. Jamnadas V. LODHIA – known as “Chuni”- and his sons Mr. Kunal LODHIA and Jitendra J. LODHIA). In January 2011, Ugandan authorities notified the Committee that following an exemption on its financial holdings, Emirates Gold repaid UCI’s debt to Crane Bank in Kampala, leading to final closure of its accounts. The directors of UCI have remained involved in purchasing

gold from eastern DRC. Uganda Commercial Impex (UCI) Ltd last filed a return in 2013 and was listed as “Inactive – status inactive” by the authorities of the Republic of Uganda. INTERPOL-UN Security Council Special Notice web link: <https://www.interpol.int/en/How-we-work/Notices/View-UN-Notices-Entities> [click here](#)

## FACT SHEET ON THE 1533 DEMOCRATIC REPUBLIC OF THE CONGO SANCTIONS COMMITTEE (2004)

<b>Chair (as of 1 Dec 2020)</b>	Ambassador Abdou Abarry (Niger)
<b>Mandate</b>	Seek from all states, and particularly those in the region, information regarding the actions taken by them to implement effectively the measures imposed, including by providing states with an opportunity, at the committee's request, to send representatives to meet with the committee for more in-depth discussion of relevant issues; examine and take appropriate action on information concerning alleged violations of the measures, present regular reports to the Council on its work, with its observations and recommendations, in particular on the ways to strengthen the effectiveness of the measures.
<b>Types of Sanctions</b>	Arms embargo, travel ban and assets freeze. Sanctions expire on 1 July 2021 and are normally renewed for 12 months.
<b>Exemptions</b>	Arms embargo: supplies of arms to the DRC government, supplies of arms and related materiel for support of or use by MONUSCO or the AU-Regional Task Force, other supplies of non-lethal military equipment intended solely for humanitarian or protective use, and related technical assistance and training, as notified in advance to the committee.  Travel ban: humanitarian grounds, including religious obligation; participation in efforts to bring to justice perpetrators of grave violations of human rights or international humanitarian law; entry or transit necessary for the fulfilment of judicial processes; cases determined by the committee to further the objectives of the Council's resolutions and transit to the individual's state of nationality authorized in advance by the committee.  Assets freeze: for basic expenses, legal services, extraordinary expenses, or assets determined by a relevant state to be the subject of a judicial, administrative or arbitration lien or judgement.
<b>Number of Listed Individuals/Entities</b>	36 individuals/nine entities Most recent listing made: 6 February 2020
<b>Travel Ban/Asset Freeze Listing Criteria</b>	Political and military leaders: <ul style="list-style-type: none"> <li>of foreign armed groups operating in the DRC who impede the disarmament and the voluntary repatriation or resettlement of combatants belonging to those groups;</li> <li>of Congolese militias receiving support from outside the DRC and in particular those operating in Ituri, who impede the participation of their combatants in DDR processes; or</li> <li>recruiting or using children in armed conflict in violation of applicable international law.</li> </ul> Individuals: <ul style="list-style-type: none"> <li>operating in the DRC and committing serious violations of international law involving the targeting of children or women in situations of armed conflict, including killing and maiming, sexual violence, abduction and forced displacement;</li> <li>obstructing the access to or the distribution of humanitarian assistance in the DRC; or</li> <li>supporting acts that undermine the peace, stability or security of the DRC.</li> </ul> Individuals or entities: <ul style="list-style-type: none"> <li>supporting the illegal armed groups in the eastern part of the DRC through illicit trade of natural resources, including gold; or</li> <li>acting on behalf of or at the direction of a designated individual or entity owned or controlled by a designated individual; or</li> <li>planning, sponsoring or participating in attacks against MONUSCO peacekeepers or UN personnel; or</li> <li>providing financial, material, or technological support for, or goods or services to, or in support of a designated individual or entity.</li> </ul>
<b>Delisting Procedures</b>	Member states may at any time submit to the committee requests for delisting of individuals and entities inscribed on the 1533 Sanctions List. Listed individuals and entities seeking to submit a request for delisting can do so either directly to the Focal Point for Delisting or through his/her state of residence or nationality.
<b>Monitoring Mechanism</b>	Group of Experts with six members, last updated on 20 July 2020.  Virginie Monchy, humanitarian affairs and coordinator (France) Nelson Alusala, natural resources/finance expert (Kenya) Mélanie De Groof, arms (Belgium) Gora Mbaye, armed groups (Senegal) Sophia Pickles, natural resources/finance expert (United Kingdom of Great Britain and Northern Ireland) Maia Trujillo, armed groups (Colombia) In March 2017, two members of the 1533 DRC Sanctions Committee's Group of Experts, Zaida Catalán and Michael Sharp, and their interpreter, Betu Tshintela, were murdered in the DRC.  The Group of Experts' mandate was last renewed in June 2020 in resolution 2528 and expires on 1 August 2021, but is due to be renewed by 1 July 2021. The final report was published on 2 June 2020. A midterm report under the new resolution is due no later than 30 December 2020 and the next final report is due no later than 15 June 2021. The group also submits monthly updates to the committee, except in the months where the mid-term and final reports are due. These updates are not made public.

# FACT SHEET ON THE 1533 DEMOCRATIC REPUBLIC OF THE CONGO SANCTIONS COMMITTEE (2004)

## Decision-Making

All decisions are made by consensus. If consensus cannot be reached on a particular issue, the matter may be referred to the Council. Decisions may be made by a "no-objection procedure" of five working days. In emergency situations the chair may decide to reduce that time period after notifying all committee members accordingly. In exceptional cases, the committee may decide to extend that time period. If no objection is received within the defined period, the proposed decision will be deemed adopted.

A hold placed on a matter by a member of the committee will cease to have effect at the time its membership of the committee ends. New members shall be informed of all pending matters one month before their membership begins and are encouraged to inform the committee of their position on relevant matters, including possible approval, objection or hold, at the time they become members. The committee will review at least once a month the status of pending issues as updated by the Secretariat.

## Committee Reporting to the Council

Resolution 2360 requests the committee to report to the Council at least once a year. This was renewed through resolution 2528. In 2019, there were two briefings in an open Council meeting: on 24 July and on 17 December.

In 2020, there was one briefing on 6 October in an open Council meeting.

## Open Briefings for Wider UN Membership

Two briefings were held in 2019: on 1 February and 9 August 2019.

## Summary of Committee Activities (1 Jan – 6 October 2020)

Committee members met five times in informal consultations in 2019 on 25 March, 24 May, 8 July, 27 August and 2 December. There was additional work through written procedures.

The Chair of the committee in 2019, Ambassador Mansour Ayyad Sh. A. Alotaibi (Kuwait), also hosted at the Permanent Mission of Kuwait six "informal informal" meetings with Robert Petit, the senior official of the DRC follow-on mechanism as his team provides assistance to the Government of the DRC to investigate the March 2017 murders of the two former members of the Group of Experts.

From 27 April to 6 May, the Chair of the Committee visited the DRC, Uganda and the United Arab Emirates.

In 2020, committee members met once times in informal consultations on 21 February. There was additional work through written procedures. Additionally, due to COVID-19 working methods, committee members held informal informals via VTC five times on 22 May, 27 May, 12 June (a joint informal informal VTC with the 2127 Central African Republic Sanctions Committee), 10 July, and 4 September.

## Member States Reporting Obligations

Resolution 2528 refers back to Resolution 2360, which recommends all member states to fully implement the regional certification scheme on natural resources and report mineral trade statistics.

## UN Documents

### Security Council Resolution

[S/RES/2528](#) (25 June 2020) renewed the DRC sanctions regime until 1 July 2021 and the mandate of the Group of Experts assisting the 1533 DRC Sanctions Committee until 1 August 2021.

[S/RES/1533](#) (12 March 2004) established the Sanctions Committee and the Group of Experts.

### Other

[S/2020/726](#) (20 July 2020) was a letter from the Secretary-General to the President of the Security Council, appointing the Group of Experts assisting the DRC Sanctions Committee for a term expiring 1 August 2021.

[S/2020/482\\*](#) (2 June 2020) was the final report of the Group of Experts.

[SC/14101](#) (6 February 2020) was a press release with the name of the newest addition to the DRC sanctions list.

[S/2019/965](#) (19 December 2019) was the report of the 1533 Committee on its activities from 1 January to 31 December 2019.

[S/2019/607](#) (30 July 2019) was a letter from the Secretary-General to the president of the Security Council, appointing the Group of Experts assisting the DRC Sanctions Committee.

[S/2019/9](#) (2 January 2019) was a letter from the Secretary-General to the president of the Security Council, appointing a new groups expert to the Group of Experts assisting the DRC Sanctions Committee.

[SC/13194](#) (1 February 2018) was a press release about

the addition of four individuals to the DRC sanctions list.

[S/2017/917](#) (31 October 2017) was a letter from the Secretary-General about the deployment of a team to assist national authorities in the investigation of the murder of two members of the DRC Sanctions Committee's Group of Experts.

[SC/12959](#) (17 August 2017) was a press release on the 4 August open briefing of the DRC Sanctions Committee.

[S/2017/713](#) (15 August 2017) was a letter from the Secretary-General containing the executive summary of the Board of Inquiry's report on the murder of two members of the DRC Sanctions Committee's Group of Experts.

[S/2017/672](#) (10 August 2017) was the final report of the Group of Experts.